



**University of California, Santa Barbara
Audit and Advisory Services
FY 2018-19 Audit Services Plan**

<u>Name/Title</u>	<u>Planned Hours</u>	<u>Est. Qtr. Completion</u>
<u>Prior Year Audits Not Completed</u>		
Hours for Prior Year Audits Not Completed	350	
<u>Planned New Audits</u>		
▪ Gift Administration – Donor Intent	275	09/30/19
▪ Design and Construction Cost Tracking and Reports	275	09/30/18
▪ Earth Research Institution	300	09/30/18
▪ IT: Password Management	275	12/31/18
▪ College of Engineering – Internal Controls	300	12/31/18
▪ Business Contracts – International	300	12/31/18
▪ EHS: Lab Safety and Hazardous Material Handling	300	03/31/19
▪ Outside Professional Activities (Systemwide)	150	03/31/19
▪ IT:UC Path Progress Review	300	03/31/18
▪ Fair Wage / Fair Work (Systemwide)	150	06/30/19
▪ IT: Billing Accounts Receivable Collections (BARC)	250	06/30/19
▪ IT: Campus Financial System (CFS): Phase I Post Implementation Internal Control Review (Business and Financial Services)	300	06/30/19
▪ Travel	275	06/30/19
Planned New Audits	3,450	
Planned Supplemental Audits	500	
Audit Follow Up	400	
Total Planned Audit Program	4,700	
<u>Planned Advisory Services:</u>		
▪ IT: Work Order Systems and Processes	250	09/30/18
▪ IT: Disaster Recovery /Business Continuity	275	12/31/18
▪ EHS: Personal Protective Equipment	275	12/31/18
▪ IT: Information Security- Placeholder for As-Needed Services	275	03/31/19
▪ Data Analytics Program - Development and Collaboration	145	06/30/19
▪ Outreach, Training, and Presentations	100	06/30/19
Planned Advisory Services		
Unplanned Advisory Services	700	
Total Advisory Services	2,020	
Planned Investigation Hours	2,100	
Total Audits, Advisory Services, and Investigations	8,820	