University of California, Santa Barbara
Audit and Advisory Services
FY 2017-18 Audit Services Plan

Name/Title	Planned Hours	Est. Qtr. Completion
Planned New Audits		
▪ IT: Information Security - Web Applications	210	09/30/17
▪ IT: Information Security – Cyber Security	225	09/30/17
▪ Marine Science Institute	315	09/30/17
▪ Police Department	250	09/30/17
▪ Deferred Maintenance	300	12/31/17
▪ IT: Cloud Computing	250	12/31/17
▪ Environmental Health & Safety (EH&S): Construction Project Close Out	275	12/31/17
▪ Hiring Practices – Faculty Recruitments	250	12/31/17
▪ IT: Campus Security	300	03/31/18
▪ IT: Campus Financial System (CFS) - Phase I Post Implementation Internal Control Review (Business and Financial Services)	300	03/31/18
▪ IT: Transcript Tracking System (TTS) and Transfer Evaluation and Articulation System (TEAS)	250	03/31/18
▪ Environmental Health & Safety (EH&S) – Safety Training	250	03/31/18
▪ Executive Compensation: Chancellor's Expenses and Executive T&E	150	03/31/18
▪ Executive Compensation: Annual Report on Executive Compensation (AREC)	150	03/31/18
▪ Fair Wage / Fair Work (Systemwide)	150	03/31/18
▪ Outside Professional Activities (Systemwide)	50	03/31/18
▪ IT: UCPath Project Progress Review	275	06/30/18
▪ Conference Services - Internal Control Review	275	06/30/18
▪ IT: Enterprise and Campus-wide IT Project Costs - FY 2017-18 Review	250	06/30/18
▪ HR Background Checks	275	06/30/18
Planned New Audits	**4750**	
Planned Supplemental Audits	600	
Audit Follow Up	500	
Total Planned Audit Program	**5850**	
Planned Advisory Services:		
▪ Clery Act Reporting Process Advisory	275	09/30/17
▪ Work Order Systems and Processes	250	12/31/17
▪ Information Security Advisory – Placeholder for As-Needed Services	250	12/31/17
▪ Campus Physical Security Assessment	275	03/30/18
▪ Campus Compliance Self-Assessment	275	03/31/18
▪ Placeholder for As-Needed Services	125	06/30/18
▪ Data Analytics Program - Development and Collaboration	250	06/30/18
▪ Outreach, Training, and Presentations	200	06/30/18
Planned Advisory Services	**1900**	
Unplanned Advisory Services	**780**	
Total Advisory Services	**2680**	
Planned Investigation Hours	**2100**	
Total Audits, Advisory Services, and Investigations	**10630**	

Appendix